

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Peter A. Koopman, et al. **Examiner:** Unassigned

**Serial No.:** To Be Assigned

**Art Unit:** Unassigned

**Filed:** Herewith

**Docket:** 10981AZ

**For:** SOX-9 GENE AND PROTEIN  
AND USE IN THE REGENERATION  
OF BONE OR CARTILAGE

**Dated:** July 20, 2001



Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. §§1.97 and 1.98, it is respectfully requested that the following disclosures, which are also listed on the attached form PTO-1449 be made of record in the above-identified case.

1. P. Denney et al. (1992) "A conserved family of genes related to the testis determining gene, SRY" *Nucleic Acid Research* 20(11): 2887;

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

Dated: July 20, 2001

Handwritten signature of Janet Grossman in cursive script.  
Janet Grossman

2. E.M. Wright et al. (1993) "Seven new members of the Sox gene family expressed during mouse development" *Nucleic Acid Research* 21(3): 744;
3. C. Gozé et al. (1993) "Partial cloning of SOX-11 and SOX-12, two new human SOX genes" *Nucleic Acid Research* 21(12): 2943;
4. J.W. Foster et al. (1994) "Campomelic dysplasia and autosomal sex reversal caused by mutations in an SRY-related gene" *Nature* 372: 525-530;
5. E. Wright et al. (1995) "The Sry-related gene Sox9 is expressed during chondrogenesis in mouse embryos" *Nature Genetics* 9: 15-20; and
6. J.R. Hawkins (1995) "Commentary: Genetics of XY sex reversal" *Journal of Endocrinology* 147: 183-187.

Pursuant to 37 C.F.R. §1.98 (d), copies of the above listed publications are not provided, as the references were previously submitted to the Examiner in connection with the parent case, Serial Number: 09/281,476 on March 30, 1999.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present application as defined under 37 C.F.R. §1.56.

Inasmuch as this Information Disclosure Statement is being mailed prior to the issuance of a first Official Action, no fee or certification is required.

Respectfully submitted,



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